



FORM PTO-1390 (REV. 9-2001) U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE ATTORNEY'S DOCKET NUMBER LAL-C534-US TRANSMITTAL LETTER TO THE UNITED STATES U.S. APPLICATION NO. (If known, see 37 CFR 1.5 DESIGNATED/ELECTED OFFICE (DO/EO/US) **018767** CONCERNING A FILING UNDER 35 U.S.C. 371 INTERNATIONAL APPLICATION NO. PRIORITY DATE CLAIMED INTERNATIONAL FILING DATE PCT/GB00/01562 20 April 2000 (20.04.00) 03 July 1999 (03.07.99) TITLE OF INVENTION IMPROVEMENT IN AND RELATING TO EDGE GRINDING APPLICANT(S) FOR DO/EO/US STOCKER Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: 1. X This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. 2. This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. The US has been elected by the expiration of 19 months from the priority date (Article 31). 5. 🗶 A copy of the International Application as filed (35 U.S.C. 371(c)(2)) is attached hereto (required only if not communicated by the International Bureau). has been communicated by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US). 6. An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). is attached hereto. has been previously submitted under 35 U.S.C. 154(d)(4). 7. Amendments to the claims of the International Aplication under PCT Article 19 (35 U.S.C. 371(c)(3)) are attached hereto (required only if not communicated by the International Bureau). have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. have not been made and will not be made. 8. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)). 9. An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 10. An English lanugage translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). Items 11 to 20 below concern document(s) or information included: An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 11. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 12. 13.**X** A FIRST preliminary amendment. 14. A SECOND or SUBSEQUENT preliminary amendment. 15. A substitute specification. 16. A change of power of attorney and/or address letter. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825. 17. A second copy of the published international application under 35 U.S.C. 154(d)(4). 18.□ 19. A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). 20. 🔲 Other items or information:

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U.S. APPLICATION NO. (if)	PPLIATION NO. (1500W 350 CER 1.5) INTERNATIONAL APPLICATION NO. PCT/GB00/01562				ATTORNEY'S DOCKET NUMBER LAL-C534-US		
21. The following fees are submitted:					CULATIONS	PTO USE ONLY	
BASIC NATIONAL							
Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO \$1040.00							
International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO\$890.00							
International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO						180	
International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) \$710.00							
International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4)						1	
ENTER APPROPRIATE BASIC FEE AMOUNT =					0.00		
Surcharge of \$130.00 for furnishing the oath or declaration later than months from the earliest claimed priority date (37 CFR 1.492(e)).							
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	\$			
Total claims	34 - 20 =	14	x \$18.00	\$ 25			
Independent claims	5 - 3 =	2	x \$84.00	\$ 16 \$ 0	8.00		
MULTIPLE DEPENDENT CLAIM(S) (if applicable) + \$280.00							
TOTAL OF ABOVE CALCULATIONS =					10.00		
Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.				\$			
SUBTOTAL =					10.00		
Processing fee of \$130.00 for furnishing the English translation later than months from the earliest claimed priority date (37 CFR 1.492(f)).							
TOTAL NATIONAL FEE =				\$ 13	10.00		
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +				\$			
TOTAL FEES ENCLOSED =				\$ 13	10.00		
• • •	,			Amou	unt to be efunded:	\$	
					charged:	\$	
a. A check in the amount of \$ to cover the above fees is enclosed.							
b. Please charge my Deposit Account No. 50-0760 in the amount of \$ 1310.00 to cover the above fees. A duplicate copy of this sheet is enclosed.							
c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any							
overpayment to Deposit Account No. 50-0760 . A duplicate copy of this sheet is enclosed.							
d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.							
NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the application to pending status.							
SEND ALL CORRESPONDENCE TO:							
Daniel C. Stelter, Division IP Counsel					10		
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VIA U.S.P.S. EXPRESS MAIL MAIL LABEL No. EL245144994US

19 December 2001

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CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.10

I hereby certify that a true and accurate copy of the correspondence listed below was deposited with the U.S. Postal service "Express Mail to Addressee" service in an envelope addressed to the Honorable Assistant Commissioner for Patents, U.S. Patent and Trademark Office, Box PCT, Washington, D.C. 20231, carrying the Express Mail Label Number indicated below on this the

EL245144994US

9th day of December

Express Mail Label Number

Honorable Commissioner for Patents United States Patent and Trademark Office **Box PCT** Washington, D.C. 20231

RE:

NATIONAL PHASE FILING UNDER 35 U.S.C. § 371

Applicant: STOCKER

Title

: IMPROVEMENT IN AND RELATING TO EDGE GRINDING

Our Ref. : LAL-C534-US

Relating to: PCT Patent Application Serial No. PCT/GB00/01562

Filed on 20 April 2000 (Priority Date: 03 July 1999)

Dear Assistant Commissioner:

Enclosed herewith for filing, please find the following:

- 1. Transmittal Letter to U.S. Elected Office, Form PTO-1390, 2 sheets, plus two (2) copies thereof, including an authorization to charge deposit account no. ___50-0760 in the amount of \$1310.00 for payment of the basic national fee, plus any deficiency:
- 2. Preliminary Amendment Under 37 C.F.R. 1.115, 9 sheets, with Marked-up Version of Amended/New Claims to Show Changes Made, 4 sheets; and,
- 3. a self-addressed, postage pre-paid, return-receipt postcard.

A copy of the international application was communicated previously to the Office by the International Bureau. Please return the postcard stamped to indicate your receipt of the above-referenced documents. Thank you for your assistance in this matter.

With kindest regards,

Daniel C. Stelter Attorney for Applicant